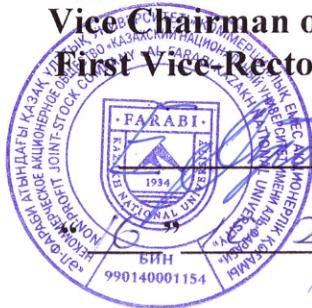


Approved
Vice Chairman of the Board –
First Vice-Rector



E.Duisenov

2024

POLICY
on Identifying and Engaging External Stakeholders
of Al-Farabi Kazakh National University

Almaty, 2025

1. General Provisions

1.1. This Policy defines the unified approaches, procedures, and mechanisms for identifying, analyzing, and engaging external stakeholders (hereinafter - ES) in the activities of Al-Farabi Kazakh National University (hereinafter - the University).

1.2. The Policy aims to strengthen the role of the University as a national research university of global standing, and as a key center of knowledge, innovation, social responsibility, and sustainable development in the Republic of Kazakhstan.

1.3. The document has been developed in accordance with:

- the University Development Program up to 2026;
- ESG principles and international standards of university social responsibility;
- the United Nations Sustainable Development Goals (SDGs 4, 5, 8, 11, 13, 16, 17);
- the University's "Green Campus" Policy and environmental initiatives;
- national and regional programs for the development of education, ecology, youth policy, digitalization, and science.

1.4. The Policy is mandatory for all structural units of the University and applies to the planning, implementation, and monitoring of all projects involving external stakeholders.

2. External Stakeholders of Al-Farabi KazNU

2.1. External stakeholders are organizations and groups that are not part of the University's internal structure, but impact its activities or are affected by its results.

2.2. The key categories of external stakeholders of the University include:

Governmental and Municipal Bodies:

- Ministry of Science and Higher Education of the Republic of Kazakhstan
- Ministry of Ecology and Natural Resources
- Ministry of Culture and Information
- Ministry of Digital Development
- Almaty City Administration (Akimat)
- Almaty Youth Policy Department
- Department of Ecology, Green Technologies and Urban Development
- Republican Volunteer Center

2.3. Business and Industrial Partners:

The University collaborates with:

ZTE Corporation, Huawei

Kazakhtelecom

National companies: Samruk-Kazyna, KazMunayGas

Banking sector (Halyk Bank, Kaspi Bank)

Almaty technology parks and startup incubators

2.4. International Partners:

- Harbin Engineering University
- University of Tsukuba
- Istanbul University
- Al-Farabi World University Consortium
- UNAI (United Nations Academic Impact)

2.5. NGOs, Civil Society, and Cultural Organizations:

- Volunteer movements (“Menin BAUYRYM”, “El Jastary”, etc.)
- Environmental initiatives (“Green Bridge”, Climate Action groups)
- Foundations supporting women, youth, and education

2.5. Local Communities and the Population of Almaty

- schoolchildren, parents, youth
- rural communities
- people with special needs

3. Principles of Engagement

The University builds systematic engagement with external stakeholders based on the following principles:

- Transparency and accountability - open publication of information, reports, and data.
- Inclusiveness - involvement of a wide range of stakeholders.
- Sustainability and ESG orientation - environmental responsibility, social impact, effective governance.
- Scientific validity- decision-making based on research and analytics.
- Respect for local context - consideration of the needs of Almaty city and the region.
- Partnership for development - co-creation of public value.

4. Procedures for Identifying External Stakeholders:

4.1. An annual review and update of the stakeholder map is conducted, including:

- analysis of needs at the city and regional levels (ecology, transport, social policy, digitalization);

- monitoring of industry trends;
- collection of information from faculties and research institutes;
- assessment of potential international partnerships;
- consultations with government agencies and expert groups.

4.3. The following methods are used to identify stakeholders:

- surveys and in-depth interviews;
- expert sessions;
- participation in municipal committees and councils;
- analysis of social and environmental risks.

5. Mechanisms of Engagement

5.1. Consultation Platforms of the University

- public hearings
- city-level scientific councils
- ESG dialogue platforms
- round tables with NGOs
- expert consultations for governmental bodies

5.2. Joint Scientific and Innovation Programs

The University implements projects in the fields of:

- climatology and water resources
- ecosystems of Central Asia
- digital technologies
- medicine and biotechnology
- nuclear physics
- urban sociology

5.3. ESG and the “Green University” Initiative

Engagement includes:

- waste management and separate waste collection
- energy efficiency projects
- reforestation campaigns
- youth climate schools

5.4. Socially-Oriented Initiatives

- “Menin BAUYRYM” project supporting children and youth
- digital literacy programs for rural schoolchildren
- volunteer programs supporting elderly and large families

5.5. Business Engagement

- dual education
- joint laboratories
- grants from industrial partners

5.6. Popularization of Science

- Science Talk platforms
- Science Days
- Summer schools for schoolchildren
- Public lectures under the “Al-Farabi Lectures” series

6. Roles and Responsibilities

6.1. Institute for Sustainable Development - development and coordination of the Policy, SDG integration.

6.2. Center for Strategic Development - monitoring indicators relevant to THE and QS rankings.

6.3. Department of International Cooperation - global partnerships.

6.4. Social Development Department - cooperation with NGOs, volunteer and youth programs.

6.5. Faculties and Research Institutes - initiation of partnerships in academic and scientific areas.

7. Monitoring, Evaluation, and Reporting

The University applies a system of annual monitoring of engagement with external stakeholders.

7.1. Indicators:

- number and effectiveness of active partnerships
- coverage of social projects
- number of scientific and ESG projects
- stakeholder satisfaction assessment
- impact on city and regional development

7.2. Tools:

- annual reports
- online project portal
- open data
- surveys and consultations

- social impact assessment

8. Risk Management

- The University applies risk management procedures in stakeholder engagement, including:
 - reputational risks
 - financial risks
 - ESG compliance risks
 - social risks
 - ethical risks

Response measures include audits, corrective actions, and periodic reassessment of partnerships.

9. Final Provisions

- 9.1. The Policy enters into force upon approval.
- 9.2. The Policy is subject to review at least once every three years.
- 9.3. All University units must comply with the provisions of this document.